

SPECIAL PRODUCTS APPLICATION FORM

SIP SWP STP

(Please refer the instructions overleaf)

Date DD/MM/YYYY

ARN-ARN-46285 <small>Investment Advisor's Name & ARN</small>	ARN- <small>Sub-Broker's Name & ARN</small>	Stamp & Sign <small>Official Acceptance Point</small>
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APPLICATION / FOLIO NO. _____ NAME OF FIRST APPLICANT/FIRST UNITHOLDER _____

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PAN* <input type="checkbox"/> Sole / First Applicant	Second Applicant	Third Applicant
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MIN# <input type="checkbox"/> Sole / First Applicant	Second Applicant	Third Applicant
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Enclosed PAN Proof or Form 60/61 PAN Proof or Form 60/61 PAN Proof or Form 60/61

*Mandatory for Investment >=Rs. 50,000 *If MIN not allotted then whether KYC confirmation letter issued by CVL Yes No (refer Instruction No.4 on page 5)

E-Mail ID _____ please provide your email ID for mailing of Account Statement

1. SYSTEMATIC INVESTMENT PLAN (SIP)

Scheme _____	Plan _____	Option _____
Investment Amount _____ in figures	Frequency (Please √) <input type="checkbox"/> Monthly (Max 4 SIP dates in a month) <input type="checkbox"/> Quarterly (Only one date)	
Investment Period <input type="checkbox"/> In Months From MM/YYYY To MM/YYYY	Dates 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 14th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 28th <input type="checkbox"/> Total Chqs _____	
Investment Commencement Date DD/MM/YYYY	Cheque Nos. From _____ To _____ From _____ To _____	
Drawn on _____ Bank _____ Branch _____	Account Type Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/>	

2. SYSTEMATIC WITHDRAWAL PLAN (SWP)

Scheme _____	Plan _____	Option _____
Withdrawal Option (Please √) <input type="checkbox"/> Fixed OR <input type="checkbox"/> Appreciation withdrawal (Only on the 1st of every month)	Fixed Withdrawal <input type="checkbox"/> Monthly (Minimum 6 months) Frequency (Please √) <input type="checkbox"/> Quarterly (Minimum 4 quarters)	
Total amount of SWP _____ in figures	Dates (Only one date) 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 14th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 28th <input type="checkbox"/>	
	Withdrawal Period From DD/MM/YYYY To DD/MM/YYYY	

3. SYSTEMATIC TRANSFER PLAN (STP)

From Scheme _____	Plan _____	Option _____
To Scheme _____	Plan _____	Option _____
Amount per Transfer Rs. _____ in figures	Transfer Frequency (Please √) <input type="checkbox"/> Monthly (Max 4 STP dates in a month) <input type="checkbox"/> Quarterly (Only one date)	
Transfer Period From DD/MM/YYYY To DD/MM/YYYY	Dates 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 14th <input type="checkbox"/> 20th <input type="checkbox"/> 21st <input type="checkbox"/> 28th <input type="checkbox"/>	
Total Amount of Transfer _____ in figures	No. of Transfers _____	

4. DECLARATION AND SIGNATURES

Having read and understood the contents of the offer document(s) of the scheme(s), I/We hereby apply to the Trustee of Birla Mutual Fund for units of scheme(s) of Birla Mutual Fund as indicated above and agree to abide by the terms, conditions, rules and regulations of the scheme (s). I/We hereby declare that the particulars given herein are correct and complete. I/We confirm that I/we have not received and will not receive any commission or brokerage or any other incentive in any form, directly or indirectly, for subscribing to units issued under any of the scheme(s).
I/We hereby declare that the amount invested in the scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, 1961, Prevention of Money Laundering Act, 2002, Prevention of Corruption Act, 1988 or any other applicable laws enacted by the Government of India from time to time.
For NRIs/FIIs only: I/We confirm that I am/we are Non Residents of Indian Nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-resident External Account/FCNR account/NRO/NRSR Account.

SIGNATURE(S) Sole/ First Applicant	Second Applicant	Third Applicant
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(To be signed by All Applicants if mode of operation is "Joint")

Birla Sun Life Mutual Fund	Received From _____ an application for Systematic Investment / Systematic Withdrawal / Systematic Transfer Scheme _____ Facility Details _____	ACKNOWLEDGEMENT SLIP APPLICATION / FOLIO NO. _____ Official Acceptance Point Stamp & Sign									
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:33%;">Scheme _____</td> <td style="width:33%;">SIP Date DD / MM / YYYY</td> <td style="width:33%;">Amount (Rs) _____</td> </tr> <tr> <td>Plan _____</td> <td>SWP Date DD / MM / YYYY</td> <td>Amount (Rs) _____</td> </tr> <tr> <td>Option _____</td> <td>STP Date DD / MM / YYYY</td> <td>Amount (Rs) _____</td> </tr> </table>			Scheme _____	SIP Date DD / MM / YYYY	Amount (Rs) _____	Plan _____	SWP Date DD / MM / YYYY	Amount (Rs) _____	Option _____	STP Date DD / MM / YYYY	Amount (Rs) _____
Scheme _____	SIP Date DD / MM / YYYY	Amount (Rs) _____									
Plan _____	SWP Date DD / MM / YYYY	Amount (Rs) _____									
Option _____	STP Date DD / MM / YYYY	Amount (Rs) _____									

A. SCHEMES AVAILABLE UNDER THE SPECIAL PRODUCTS (SIP / SWP / STP)

SIP	Birla Advantage Fund / Birla Asset Allocation Fund / Birla Balance / Birla Bond Plus / Birla Dynamic Bond Fund / Birla Dividend Yield Plus / Birla Equity Plan* / Birla Gilt Plus / Birla India Opportunities Fund / Birla Index Fund / Birla Income Plus / Birla Midcap Fund / Birla MNC Fund / Birla MIP* / Birla MIP II* / Birla Sun Life Monthly Income* / Birla Sun Life Income Fund / Birla Sun Life Government Securities Fund / Birla Sun Life Equity Fund / Birla India GenNext Fund / Birla Sun Life New Millennium Fund / Birla Sun Life Buy India Fund / Birla Sun Life Basic Industries Fund / Birla Sun Life Frontline Equity Fund / Birla Sun Life '95 Fund / Birla Sun Life Tax Relief '96* / Birla Top 100 Fund / Birla Infrastructure Fund
SWP	Birla Bond Plus / Birla Dynamic Bond Fund / Birla Gilt Plus / Income Plus / Birla MIP* / Birla MIP II* / Birla Sun Life Monthly Income* / Birla Sun Life Government Securities Fund / Birla Sunlife Income Fund
STP	Can transfer "OUT" investment from the following Schemes: Birla Bond Plus / Birla Bond Index Fund / Birla Cash Plus / Birla Dynamic Bond Fund / Birla Floating Rate Fund / Birla Gilt Plus / Birla Income Plus / Birla MIP* / Birla MIP II* / Birla Sun Life Monthly Income* / Birla Sun Life Government Securities / Birla Sun Life Cash Manager / Birla Sun Life Short Term Fund / Birla Sun Life Income Fund Can transfer "IN" investment in the following Schemes: Birla Advantage Fund / Birla Balance / Birla Dividend Yield Plus / Birla Equity Plan* / Birla India Opportunities Fund / Birla MNC Fund / Birla Midcap Fund / Birla India GenNext Fund / Birla Sun Life Equity Fund / Birla Sun Life New Millennium Fund / Birla Sun Life Buy India Fund / Birla Sun Life Basic Industries Fund / Birla Sun Life Frontline Equity Fund / Birla Sun Life Tax Relief '96* / Birla Sun Life '95 Fund / Birla Top 100 Fund / Birla Infrastructure Fund

B. INSTRUCTIONS - COMMON TO SIP / SWP / STP

- New investors who wish to enrol for the special products should fill this form in addition to the Common Application Form. Please complete all details in the Common Application Form. Details of the Special Products should be provided on this form. Existing investors need to fill up only this form.
- For multiple transactions under more than 1 scheme, photocopies of the form can be utilised
- This form should be submitted at least 15 working days before the commencement date.
- The investor has the right to discontinue SIP / SWP / STP at any time he/she so desires by sending a written request at least 15 working days in advance of the immediate next due date to any of the offices of Birla Mutual Fund or its Authorised Collection Centres. On receipt of such request the SIP / SWP / STP will be terminated and in case of SIP balance post-dated cheques will be returned to the investor.
- Units will be Allotted / Redeemed / Transferred at the NAV related prices of the 1st and/or 7th and/or 14th and/or 20th and/or 21st and/or 28th of every month (or next business day, if 1st and/or 7th and/or 10th and/or 14th and/or 20th and/or 21st and/or 28th is a non business day). In case of Post Dated Cheques submitted for any dates, other than the dates offered, immediate next offered date would be considered as the SIP date(s). An account statement will be dispatched to the unit holders once every quarter within 10 working days of the end of each quarter. The first account statement will be issued within 10 working days of the initial investment. Further an updated account statement will be sent after each transaction under the special products wherever, e-mail address has been provided, the account statement will be sent through e-mail only
- An application for Purchase of Units amounting to Rs. 50,000/- or more should quote PAN of the applicant, (In case of Joint applicants, PAN of all holders) along with documentary proof of PAN (Photocopy of PAN card). In case of a minor, PAN details of the Guardian must be submitted. In case a person does not have a PAN, a declaration in Form 60/Form 61 (as applicable) alongwith proof of address must be submitted. Applications without this would be rejected by the Mutual Fund without any reference to the investor.

C. INSTRUCTIONS - SYSTEMATIC INVESTMENT PLAN (SIP)

- Minimum Amount :**
A] For Monthly SIP : (i) Birla Equity Plan and Birla Sun Life Tax Relief '96: Minimum 6 cheques of Rs. 500/- each and above.
(ii) Other Schemes: Minimum 6 Cheques of Rs. 1,000/-each and above.
B] For Quarterly SIP : (i) Birla Equity Plan and Birla Sun Life Tax Relief '96: Minimum 4 cheques of Rs. 500/- each and above.
(ii) Other Schemes: Minimum 4 Cheques of Rs. 2,000/- each and above.
- All post-dated cheques have to be issued favouring the individual scheme.**
- Value of each monthly installment should be the same in all the post-dated cheques issued.
- Investment Dates: Monthly Systematic Investment Plan: Cheques should be of the following dates: 1st and/or 7th and/or 10th and/or 14th and/or 20th and/or 21st and/or 28th of every month.
- Fast Forward Facility: Investors can opt for multiple dates within a month in case of monthly SIP. Investors may choose maximum upto 4 dates from the following dates: 1st and/or 7th and/or 10th and/or 14th and/or 20th and/or 21st and/or 28th of every month. Fast Forward Facility is not available in case of quarterly SIP.
- Cheques should be drawn payable at locations of Birla Sun Life Asset Management Company Limited branches & authorised centres. **Non MICR / outstation post dated cheques will not be accepted for SIP.**
- Default Dates:** In case of any ambiguity in selection of investment frequency, the SIP date will be 7 of each month / quarter. In case where more than 4 dates are specified, default dates will be 7, 14, 21 & 28 of each month.

D. INSTRUCTIONS - SYSTEMATIC WITHDRAWAL PLAN (SWP)

- Options available and Minimum Amount :**
A] Fixed withdrawal:- Investors can withdraw fixed amount of Rs. 1,000/- each and above at regular intervals.
B] Appreciation withdrawal:- Investors can withdraw appreciation of Rs. 1,000/- and above at regular intervals. If the appreciation amount is less than Rs. 1,000/- or the specified amount there will be no SWP in that month/quarter. The cumulative appreciation of this period and the immediately succeeding period shall be paid out subject to it being a minimum of Rs. 1,000/- or the specified amount.
- SWP is not available for investments under lock-in period and for investments which are pledged.
- The redemptions warrants will be sent at the requested frequency. The investor can also opt for direct credit of the redemption proceeds to their bank accounts (Currently direct credit offered for ten banks - ABN Amro Bank, Citibank, Deutsche Bank, HDFC Bank, HSBC, ICICI Bank, IDBI Bank, Standard Chartered Bank, UTI Bank, Kotak Mahindra Bank)
- In case of Fixed Withdrawal, if the amount of instalment is more than the amount available in that account for redemption, the entire available amount will be redeemed and the SWP will terminate automatically.
- In case of Appreciation Withdrawal, appreciation will be calculated on the units available for redemption at the time of the SWP installment.
- Withdrawal Dates: Fixed Withdrawal:** Investors can withdraw fixed amount on 1 or 7 or 10 or 14 or 20 or 21 or 28 of each month/quarter for minimum 6 months/ 4 quarter.
Appreciation withdrawal: Investors can withdraw appreciation on the 1 of each month/quarter for minimum 6 months/ 4 quarter.
- Default Dates:** In case of any ambiguity in selection of withdrawal frequency, the SWP date will be 7 of each month in case of Fixed withdrawal facility.

E. INSTRUCTIONS - SYSTEMATIC TRANSFER PLAN (STP)

- Minimum Amount : **A] Monthly Plan :** Minimum 6 transfers of Rs. 1,000/- each and above.
B] Quarterly Plan : Minimum 4 transfers of Rs. 2,000/- each and above.
- Minimum balance in the Scheme at the time of enrollment for STP Facility: Monthly STP Rs. 6000/-, Quarterly STP Rs. 8000/-
- Investors have the option to STP investment to a sub-account under the same Folio by quoting the 10 digit FOLIO Number.
- STP is not available for investments under lock-in period.
- In case of insufficient balance, the transfer will not be effected.
- Transfer Dates : Monthly Systematic Transfer Plan:** Transfers can be on 1 and/or 7 and/or 10 and/or 14 and/or 20 and/or 21 and/or 28 of each month for minimum 6 transfers.
Quarterly Systematic Transfer Plan: Transfers can be on 1 or 7 or 10 or 14 or 20 or 21 th or 28 of each quarter for minimum 4 quarters.
- Fast Forward Facility :** Investors availing monthly STP facility can opt for multiple dates, maximum upto any four dates within a month and in this case the dates can be dated 1st and / or 7th and / or 10th and/or 14th and/or 20th and/ or 21st and/ or 28th of each month.
- Default Dates:** In case of any ambiguity in selection of transfer frequency, the STP date will be 7 of each month / quarter. In case where more than 4 dates are specified, default dates will be 7, 14, 21 & 28 of each month.

F. LOAD STRUCTURE

SIP Entry Load: As applicable to respective schemes **Exit Load:** As applicable to respective schemes

SWP As applicable to respective schemes at the date of withdrawal.

- STP**
- Exit Load at the time of transfer out of debt scheme - Nil
 - Entry Load at the time of transfer into Equity Scheme - Entry Load as applicable to the respective scheme.
 - Exit Load at the time of redemption / repurchase, Exit Load as applicable to the respective scheme

An open-end income Scheme. Monthly income is not assured and is subject to availability of distributable surplus.

*An Open ended Equity Linked Savings Scheme(ELSS) (All investments in the scheme are subject to a lock-in period of 3 years from the date of allotment)