

Please refer to Instructions of KIM and as mentioned overleaf before filling the form.

Broker Code	<b>ARN-0163</b>	Sub Broker Code		Application No.	
NAME OF UNITHOLDER / APPLICANT / GUARDIAN				FOLIO NO.	
				/	
E-mail id to receive transaction confirmation / Account Statements etc.					
Sole / First Applicant / Guardian		Second Applicant / Guardian		Third Applicant / Guardian	
PAN (Provide attested PAN copy)					

**1. SYSTEMATIC INVESTMENT PLAN (SIP)** (Separate Cheque required for each investment)

Scheme, Plan		Option	
Frequency (Please <input checked="" type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly)			
SIP Date: <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 14th <input type="checkbox"/> 21st <input type="checkbox"/> All four dates of the month		SIP Period From	
Each SIP Amount Rs. _____ (Minimum Rs. 1,000/-)		To _____ (Minimum 12 instalments) Cheque Nos. From _____ To _____	
All cheques should be of same date of the months/quarters.			
Drawn on	Bank	Branch	City

**2. SYSTEMATIC WITHDRAWAL PLAN (SWP)** (Please allow 7 days to register SWP)

Scheme, Plan		Option	
Withdrawal Amount			
<input type="checkbox"/> Fixed Sum of Rs. _____ (Minimum Rs. 1,000/-) <sup>#</sup>		Withdrawal period starting from (Period to cover - minimum 6 SWP transactions)	
<input type="checkbox"/> Capital Appreciation, subject to a Minimum of Rs. 1,000/- <sup>#</sup>		To _____	
Withdrawal Dates <input type="checkbox"/> 1st* <input type="checkbox"/> 7th <input type="checkbox"/> 14th <input type="checkbox"/> 21st <input type="checkbox"/> All four SWP Dates		M M Y Y Y Y M M Y Y Y Y	
Frequency <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly * Default options			

**3. SYSTEMATIC TRANSFER PLAN (STP)** (Please allow 7 days to register STP)

STP From Scheme, Plan		Option	
STP To Scheme, Plan			
Transfer Amount			
<input type="checkbox"/> Fixed Sum of Rs. _____ (Minimum Rs. 1,000/-) <sup>#</sup>		Transfer period starting from (Period to cover - minimum 6 STP transactions)	
<input type="checkbox"/> Capital Appreciation, subject to a Minimum of Rs. 1,000/- <sup>#</sup>		To _____	
Transfer Dates <input type="checkbox"/> 1st* <input type="checkbox"/> 7th <input type="checkbox"/> 14th <input type="checkbox"/> 21st <input type="checkbox"/> All four STP Dates		M M Y Y Y Y M M Y Y Y Y	
Frequency <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly * Default options			

Investments done in schemes through STP will be treated as investments through SIP and the load structure for SIP will be applicable.

**DECLARATION & SIGNATURES**

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Addenda issued till date of the Scheme & Plans mentioned above (including the target Scheme in case of STP) and Instructions overleaf, I / We apply to the Trustee, DSP BlackRock Mutual Fund, for SIP / SWP / STP, as the case may be, and agree to abide by the terms and conditions of SIP / SWP / STP. I / We agree to consider investment through STP as a deemed SIP investment. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment.

**SIGNATURE (S)**  
(All Holders to sign)

Sole / First Applicant / Guardian  
Second Applicant / Guardian  
Third Applicant / Guardian

\_\_\_\_\_  
D D / M M Y Y Y Y

**SIP / SWP / STP FORM - ACKNOWLEDGEMENT SLIP** (To be filled in by the Investor)

ACCOUNT NO. _____ / _____	ISC Stamp & Signature
Received from _____	
An application for <input type="checkbox"/> SIP <input type="checkbox"/> SWP <input type="checkbox"/> STP	

## INSTRUCTION FOR SYSTEMATIC INVESTMENT (SIP)

- New investors investing in a Scheme / Plan using SIP should fill in all the sections in the Common Application Form except the section titled "Investment & Payment Details". Such investors should fill in their investment details in the SIP section of this form.
- Existing Investors are required to submit only this form (SIP/SWP/STP Form), indicating the existing Folio / Account Number, PAN details as applicable and investment details in the SIP section.
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for SIP facility.
- Minimum Investment Amount for each SIP instalment is Rs. 1000/- in all schemes and SIP has to be for a minimum of 12 instalments.
- You can opt for an SIP on a monthly / quarterly basis by issuing post-dated cheques for a pre-defined amount.
- SIP Facility is available only on specific dates of the month viz 1st / 7th / 14th / 21st.. All Cheques should be of the same date of month / quarter and for the same amount.
- In case "All four dates of the month" is selected, minimum 3 cheques for each date should be given i.e. minimum 12 cheques should be given.
- The Cheques should be drawn in favour of "Name of the Scheme", as applicable. e.g. "DSP BlackRock Equity Fund"
- A separate SIP Form should be filled in for each Scheme / Plan / Option. Your folio number and name should be mentioned on the reverse of the Cheque.
- You can choose to discontinue this facility by giving a 15 days' written notice to the Registrar.

## INSTRUCTIONS FOR SYSTEMATIC WITHDRAWAL PLAN (SWP)

- New Investors investing in a scheme for the first time and who wish to avail SIP facility, should fill in all the sections in the Common Application Form. The withdrawal details should be indicated in the SWP section of this form.
- Existing Investors are required to submit only this form (SIP/SWP/STP Form) indicating the existing Account Number and withdrawal details in the SWP section.
- Please allow upto 7 days for SWP to be registered and the first SWP transaction to happen. Hence form should be submitted atleast 7 days before the SWP start date.
- SWP facility can be availed of only by Unit Holders with a minimum balance of Rs. 25,000/- in the folio at the time of SWP registration by the Registrar. If the balance is less than Rs. 25,000/-, SWP will not be registered.
- SWP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st. In case of ambiguity / incomplete form, "1st" will be treated as the default option date.
- You can opt for an SWP for a fixed amount or appreciation on a weekly (on all four SWP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as default option.
- SWP has to be for minimum a of 6 instalments irrespective of frequency i.e. six dates in case of weekly option or six months in case of monthly option or six quarters in case of quarterly option.
- SWP withdrawal request should not be below Rs.1000/-. For investors availing of the withdrawal of 'appreciation' option, where in any week, month or quarter, there is no appreciation or the appreciation is less than Rs.1,000, withdrawal as mentioned above, will not be carried out. Even if withdrawal is not carried out due to lack of appreciation, the SWP will be terminated at the end of the specified period.
- Where start date is not specified clearly, SWP will be registered to start from a period after seven days on the SWP date as available / mentioned. Where end date is not specified clearly, SWP will be registered for a period of three years.
- Investors may choose to discontinue this facility by giving a 15 days' written notice to the Registrar.

## INSTRUCTIONS FOR SYSTEMATIC TRANSFER PLAN (STP)

- If you are investing in a Scheme for the first time and wish to avail STP facility, please fill in all the sections in the Common Application Form. The transfer details should be indicated in the STP Section of this form.
- Existing Investors are required to submit only this form (SIP/SWP/STP Form) indicating the existing Account Number and transfer details in the STP section
- Investors should ensure that they have complied with PAN and KYC requirements mentioned in KIM and general instructions, as may be applicable to them while opting for STP facility.
- Please allow upto 7 days for the STP to be registered and the first STP transaction to happen. Hence form should be submitted atleast 7 days before the STP start date.
- STP facility can be availed of only by Unit Holders with a minimum balance of Rs.25,000/- in the folio at the time of SWP registration by the Registrar. If the balance is less than Rs.25,000/-, STP will not be registered.
- STP Facility is available only on specific dates of the month, viz. 1st / 7th / 14th / 21st In case of ambiguity / incomplete form, "1st" will be treated as the default option date.
- You can opt for an STP for a fixed amount or appreciation on a weekly (on all four STP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as the default option.
- STP has to be for a minimum of 6 transactions / transfers irrespective of frequency, i.e. six dates incase of weekly option or six months incase of monthly option or six quarters incase of quarterly option.
- Your transfer request should not be below Rs.1000/-. For investors availing of the transfer of 'appreciation' option, where in any week, month or quarter, there is no appreciation or the appreciation is less than Rs.1,000/-, switch as mentioned above, will not be carried out. Even if transfer is not carried out due to lack of appreciation, the STP will be terminated at the end of the specified period.
- Where start date is not specified clearly, STP will be registered to start from a period after seven days on the STP date as available / mentioned. Where end date is not specified clearly, STP will be registered for a period of three years.
- You can choose to discontinue this facility by giving a 15 days' written notice to the Registrar.

**Website: [www.dspblackrock.com](http://www.dspblackrock.com)**

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**Toll Free Call : 1800 345 4499**

**Local Service Centre: 1901 425 1234**