



Think Investments. Think Kotak.

Kotak Mahindra Mutual Fund

Systematic Investment Form

Strike off sections that are not applicable

Investor's Information

Investment Advisor's Name & Code	Sub-Broker's Code			
Account No. <i>(For Existing Investors)</i>	Application No. <i>(For New Investors, pls. attach the application form)</i>			
Name of Sole/ First Holder				
PAN <i>(Mandatory if each SIP is >= Rs. 50,000/-)</i>	Sole / First Holder	Second Holder	Third Holder	
Enclosed (Please ✓)	<input type="checkbox"/> PAN Proof or <input type="checkbox"/> Form 60/61	<input type="checkbox"/> PAN Proof or <input type="checkbox"/> Form 60/61	<input type="checkbox"/> PAN Proof or <input type="checkbox"/> Form 60/61	
E Mail Id	Pls. provide your email id for mailing of Account Statement			
<i>I would like to opt for Systematic Investment through <input type="checkbox"/> Post-Dated Cheques <input type="checkbox"/> Auto-Debit</i>				
Investment Frequency (Please ✓)	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	Period From mm / yyyy To mm / yyyy	SIP Installment Amt. (Rs.)	
SIP Date (Please ✓)	<input type="radio"/> 1 st <input type="radio"/> 7 th <input type="radio"/> 14 th <input type="radio"/> 21 st	First SIP vide Cheque No.	Dated	dd/mm/yyyy
Scheme _____	Plan _____	Option (Please ✓)	<input type="checkbox"/> Growth <input type="checkbox"/> BONUS <i>(Available only in Kotak Bond Regular)</i> <input type="checkbox"/> Dividend <input type="checkbox"/> Payout OR <input type="checkbox"/> Reinvestment Dividend Frequency _____	

SIP through Post-Dated Cheques (PDCs)

(Minimum 6 Cheques)

Cheque Nos. From	To	Cheque Date From	dd/mm/yyyy	To	dd/mm/yyyy
Drawn on	Bank	Branch	City		

SIP through Auto-Debit (AD)

(First SIP through Cheque & Min. 5 Auto-Debit)

Bank Account Details (Mandatory) Please ensure your First SIP Cheque is drawn from Bank Account mentioned below.

Bank	Branch	City	
Name of Bank Account Holder	Sole / First Account Holder	Second Account Holder	Third Account Holder
Account Number	MICR (9 digit code next to Cheque No.)		
Account Type	<input type="checkbox"/> Current	<input type="checkbox"/> Savings	<input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others

I/We hereby declare that the particulars given above are correct and express my / our willingness to make payments referred above through participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete information, I/We will not hold Kotak Mahindra Mutual Fund responsible. I/We will also inform Kotak Mahindra Mutual Fund, about any changes in my bank account immediately. I/We have read and agreed to the terms and conditions mentioned overleaf.

SIGNATURE(S)	First Account Holder	Second Account Holder	Third Account Holder
	To be signed by All Bank Account Holders if mode of operation is "Joint". (As in Bank Records)		

Banker's Attestation (Mandatory if your First SIP Investment is through a Demand Draft / Pay Order)

Certified that the signature of account holder and the details of Bank Account are correct as per our records.	Signature of Authorised Official from Bank
	Bank stamp and date

For Office Use only (Not to be filled in by Investor)

Scheme (Plan) - Option	Scheme Code	Credit Account Number
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Declaration and Signatures

(For SIPs either through Post-Dated Cheques or Auto-Debits)

I/We have read and understood the contents of the Offer Document(s) of the above referred Scheme(s) of Kotak Mahindra Mutual Fund. I/We hereby apply for allotment/purchase of Units in the Scheme(s) indicated as above and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We are authorized to make this investment in the above mentioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorize Kotak Mahindra Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my/our Investment Advisor and/or banks. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment.

SIGNATURE(S)	Sole/First Applicant	Second Applicant	Third Applicant
	To be signed by All Applicants if mode of operation is "Joint".		

Authorisation of Bank Account Holder: This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit) & that my/our payment towards my/our investment in Kotak Mahindra Mutual Fund shall be made from my/our below account with your bank. I/We authorise the representative carrying this ECS Mandate Form to get it verified & executed.

Bank	Account No.		
Name of First Account Holder	Name of Second Account Holder	Name of Third Account Holder	
Name of First Account Holder	Name of Second Account Holder	Name of Third Account Holder	
SIGNATURE(S)	First Account Holder	Second Account Holder	Third Account Holder
	To be signed by All Bank Account Holders if mode of operation is "Joint". (As in Bank Records)		

FOR Auto-Debit

FOR Auto-Debit

**Systematic Investments - Snapshot**

Schemes where SIPs are allowed	All open-ended schemes except Institutional & Institutional Premium Plans under such schemes.
Frequency	Monthly or Quarterly
Choice of Dates	1 st , 7 th , 14 th & 21 st
Minimum Investment	Rs 1000/- (Rs. 500 & in multiples of Rs. 500 in case of Kotak ELSS).
Minimum Installments	6 (All SIP installments should be for the same amount)
First SIP	→ Can be done on any Day of the Month → Needs to be compulsorily a cheque
Second & Subsequent SIPs	→ Only on specified SIP Dates (1 st / 7 th / 14 th / 21 st) after a minimum gap of 28 days from date of first SIP. → Can be through ECS Debit (in select locations) or Post-Dated Cheques based on your preference.

Instructions & Information

- Please refer the Key Information Memorandum and Offer Document of the respective Schemes(s) for Applicable NAV, Risk Factors, Load Structure and other information before investing.
- (i) Existing unitholders** need not fill in the Investment Application Form. However, they must compulsorily mention their Account Number in the Systematic Investment Form.
(ii) New Applicants need to compulsorily fill in all sections in the Investment Application Form except the section titled "Investment Details" in addition to Systematic Investment Form. The Application No. must be compulsorily mentioned in the Systematic Investment Form.
- If each SIP amount is >= Rs. 50,000/- then please furnish your PAN details and enclose a photocopy of PAN Card / PAN Allotment Letter / Tax Refund of all applicants. In case of minor applicants, please furnish the PAN details and PAN proof of the Guardian. In case you are not having PAN then please furnish a declaration in Form 60 / 61 in duplicate.
- You can opt for Systematic Investment in the Scheme on a monthly/quarterly basis through post-dated cheques/auto-debit for a pre-defined amount. This facility is available only on specified dates of the month / quarter viz. 1st, 7th, 14th & 21st. Please date your investment cheques for any of these dates.
- First SIP Installment** : Your first SIP can be for any day of the month, however subject to the condition that, there shall be a minimum gap of 28 days between the first and the second SIP. **Second & Subsequent SIP Installment** : Your second and subsequent SIPs are available only on above specified dates of the month. You can choose only one of these dates for the purpose of SIP. In case the chosen date turns out to be a non-working day for the scheme, the SIP will be processed on the immediately following working day.
- We would send you an Account Statement confirming your systematic investment within 3 working days from the date of your first systematic investment transaction. Confirmations for subsequent Systematic Investments would be sent to you on a quarterly basis.
- Modification / Cancellation of SIP** : You can request for a modification / cancellation of your SIP at any time. Your modification / cancellation request shall be processed within 15 days of receipt of your request. Any modification request should be accompanied by :
(i) A new SIP from duly filled in and carrying the revised SIP request details.
(ii) A written and signed confirmation for discontinuance of the existing SIP.
- If two consecutive SIPs fail, your SIP will automatically stand terminated. If, upon termination / cancellation of your SIP it is observed, that your unitholding in the scheme is less than the minimum investment requirement for the scheme, your units will be redeemed at the applicable NAV of the immediately following 1st or 14th of the month, whichever is earlier and if that date happens to be a non-working day for the scheme on the immediately following working day thereafter.
- Incorrect, incomplete, ambiguous forms will not be accepted and will be returned to the investor within 30 days of their receipt.

SIP Auto-Debit : Terms & Conditions

- Our SIP Auto-Debit Facility is offered to you using RBI's Electronic Clearing Service (ECS) for effecting SIP payments. By opting for this facility, you agree to abide by the terms and conditions of ECS Facility of Reserve Bank of India.
- This facility is offered only to investors having bank accounts in any of the cities listed below :

LIST OF LOCATIONS FOR SIP AUTO-DEBIT (THROUGH ECS)

Ahmedabad | Bangalore | Bhopal | Bhubaneswar | Chandigarh | Chennai | Coimbatore | Guwahati | Hyderabad | Indore | Jaipur | Kanpur | Kolkata | Lucknow
Ludhiana | Mumbai | Nagpur | New Delhi | Panjim | Pune | Surat | Trivandrum | Vadodara | Vijayawada | Visakhapatnam

The cities in the list may be modified / updated / changed / removed at any time in future entirely at the discretion of Kotak Mahindra Mutual Fund without assigning any reasons or prior notice.

- Your Bank Branch through which you want your SIP Auto-Debits to take place should participate in local MICR Clearing. In case your bank decides to cross-verify the ECS auto-debit mandate with you as the Bank's customer, you would need to promptly do the same. Kotak Mahindra Mutual Fund, its Investment Manager, Registrar and other service providers will not be liable for any transaction failures due to rejection of the transaction by your bank / branch or its refusal to register the SIP mandate.
- You will not hold Kotak Mahindra Mutual Fund, its Investment Manager, Registrar and other service providers responsible if the transaction is delayed or not effected or your bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS. Kotak Mahindra Mutual Fund, its Investment Manager, Registrar and other service providers shall not be responsible and liable for any damages / compensation for any loss, damage, etc. incurred by you as a result of use of this facility by you.
- If your first SIP Investment is through a Demand Draft / Pay Order, please ensure that your Bank details and Signatures are attested by your Bankers.
- If you have not indicated any of your SIP preferences completely in the form, we would presume the same as below :
SIP Frequency : Monthly; SIP Date : 1st; No. of SIPs : Six.

Checklist

Please ensure that :

- If you are an existing investor, you have quoted your Account No. with Kotak Mahindra Mutual Fund on the Systematic Investment Form.
- If you are a new investor, your Application Number is quoted on the Systematic Investment Form.
- Scheme (Plan) - Option in which you wish to do your systematic investments is clearly indicated in the Systematic Investment Form.
- The SIP Amount, the Frequency, your preferred Date and Period are clearly indicated.
- There is minimum gap of 28 days between your first & second SIP.

Auto-Debit

- Your First SIP Cheque is from the same bank from which you wish your SIP Auto-Debits to happen.
- Your First SIP Cheque details are clearly indicated in the Systematic Investment Form.
- Your Bank Account Details are correctly and completely furnished including the 9 Digit MICR Code which is mandatory for SIPs through ECS.
- Your Systematic Investment Form carries the signatures of the Bank Account Holders as it appears in the Bank Records.
- A copy of your First SIP Cheque is enclosed with your SIP Application.
- Your First SIP Investment is through a Demand Draft / Pay Order, please ensure that your Bank details and Signatures are attested by your Bankers.

Post-Dated Cheques

- Your investment cheques are drawn in favour of the Scheme, dated for your preferred SIP date for each consecutive month/ quarter & signed.
- Each SIP cheque is drawn for the same Amount.
- Your investment cheques contain your Account No. / Application No. on their reverse.

Registrar : Computer Age Management Services Pvt. Ltd. 158, 2nd Floor, Rayala Towers, Anna Salai, Chennai 600 002

