SUNDARAM MUTUAL

Application Form

Serial No: EQ

Channel Partner / Agent Information

Agent's Name and ARN	1.Sub Agent Code	2.Sub Agent Code	3.Sub Agent Code	For Office Use Only
ARN - 0163				

Upfront commision shall be paid directly by the investor to the AMFI-registered distributors based on the investors' assessment of various factors including services rendered by the distributor

1. Existing Investor Information (Please fill in your Folio No. and then proceed to Section 3)
Please note that applicant details and mode of holding will be as per existing Folio Number.

Folio No

2. New Investor Information (refer instruction 2)

Name of First/Sole Applicant

Permanent Account Number **KYC completed** ☐ Yes ☐ No **Date of Birth** D D M M Y Y Y Y

Name of Guardian (in case of First / Sole Applicant is a Minor) / **Contact Person – Designation** (in case of non-individual Investors)

Permanent Account Number **KYC completed** ☐ Yes ☐ No **Relationship**

Contact Details of First / Sole Applicant **(Please provide your Email ID as it is a must to transact online/receive e-statement & other mailers)**

E-Mail

STD Code Telephone Mobile

Address of First / Sole Applicant

CITY STATE PIN CODE

Monthly Income: ☐ < Rs 10,000 ☐ < Rs 25,000 ☐ < Rs 50,000 ☐ < Rs 1,00,000 ☐ > Rs 1,00,000 **Occupation:**.....

Mode of Holding [Please (✓)]
☐ Single ☐ Joint
☐ Anyone or Survivor

Status of First / Sole Applicant [Please (✓)]
☐ Individual ☐ Minor through guardian ☐ HUF ☐ Partnership ☐ Society/Club
☐ Company ☐ Body Corporate ☐ Trust ☐ Mutual Fund ☐ Fund of Funds in India
☐ Others _____ (please specify)

Name of Second Applicant

Permanent Account Number **KYC completed** ☐ Yes ☐ No

Name of Third Applicant

Permanent Account Number **KYC completed** ☐ Yes ☐ No

3. Choose the fund you wish to invest and make Cheque/DD in the chosen fund name (refer instruction 3)

☐ Sundaram Growth Fund
☐ Sundaram Select Focus
☐ Sundaram Select Mid Cap
☐ Sundaram India Leadership Fund
☐ Sundaram S.M.I.L.E Fund
☐ Sundaram Equity Multiplier
☐ Sundaram Tax Saver
☐ Sundaram Select Thematic Funds CAPEX Opportunities
☐ Sundaram Select Thematic Funds Rural India
☐ Sundaram Select Thematic Funds Energy Opportunities
☐ Sundaram Select Thematic Funds Financial Services Opportunities
☐ Sundaram Select Thematic Funds Entertainment Opportunities
☐ Sundaram Select Thematic Funds PSU Opportunities
☐ Sundaram Balanced Fund
☐ Sundaram Global Advantage Fund
☐ Sundaram Equity Plus

3A. Plans (refer instruction 3)
☐ Regular Plan
☐ Institutional Plan

3B. Options (refer instruction 3)
☐ Dividend Payout
☐ Dividend Re-Investment
☐ Dividend Sweep ☐ Growth

Acknowledgement

Sundaram Asset Management Company Limited, II Floor, 46 Whites Road, Chennai - 600 014. Toll Free: 1800-425-1000 Ph : (044) 28578700

Serial No: EQ

Received From Mr./Mrs./Ms. .....

Address .....

.....

ISC's Signature & Stamp

Communication in connection with the application should be addressed to the Registrar **Sundaram BNP Paribas Fund Services Limited,** Registrar and Transfer Agents, Unit: Sundaram Mutual Fund, Central Processing Center, RR Towers, II III Floor, Thiru Vi Ka Industrial Estate, Guindy, Chennai 600 032. Toll Free: 1800-425-7237.

Please Note: All Purchases are subject to realisation of cheques / demand drafts.

4. How do you wish to receive the following (refer instruction 4)

Dividend				Redemption		
☐ Direct Credit (DC)	☐ RTGS/NEFT	☐ Electronic Clearing Service (ECS)	☐ Warrant	☐ Direct Credit (DC)	☐ RTGS/NEFT	☐ Warrant

Direct Credit is now available with: Axis Bank, BNP Paribas Bank, Citibank, Deutsche Bank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, IndusInd Bank, ING Vysya, Kotak Mahindra Bank, Royal Bank of Scotland, SBI, Standard Chartered Bank, YES Bank.

Account Statement Will be sent by Email. To receive physical statement please tick ☐

Do you wish to receive updates (refer instruction 4) [Please (✓)] **by E-Mail** ☐ Yes ☐ No **SMS** ☐ Yes ☐ No

5. Please indicate details of your SIP (skip this section if you wish to make a one-time investment)

Mode of SIP ☐ Auto Debit *(also submit SIP Auto Debit form)* ☐ Post-dated cheques

Each SIP Amount Rs

Period for the SIP

☐ 1 year ☐ 2 years ☐ 3 years ☐ 5 years ☐ 10 years ☐ 15 years ☐ Perpetuity

SIP Frequency

☐ Weekly (Minimum amount Rs 1000 Every Wednesday)
☐ Monthly (Minimum amount Rs 250 Minimum No of installments 20)
☐ Quarterly (Minimum amount Rs 750 Minimum No of installments 7)

SIP Starting M M Y Y Y Y **SIP Date** ☐ 1 ☐ 7 ☐ 14 ☐ 20 ☐ 25

If you opt for SIP through post dated cheques, please indicate **First SIP Cheque No** **Last SIP Cheque No**

6. Bank Account Details of First/Sole Applicant (as per SEBI Regulations it is mandatory) (refer instruction 6)

Name of the Bank		Branch	
Branch Address		City (redemption & dividend will be payable at this location)	
Account No			

If you opt for ECS fill Cheque MICR No Account Type [Please (✓)] ☐ SAVINGS ☐ CURRENT ☐ Others.....

RTGS / NEFT IFSC Code

7. Payment Details (refer instruction 7) Please issue a separate Cheque/Demand Draft in favour of the fund you wish to invest

Cheque / DD No.			Date	D D M M Y Y Y Y	
Amount in words (Rs)			Drawn on Bank		
Amount in figures (Rs)		DD Charges	Net Amount	Branch Name	

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document • hereby apply for units as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme • agree to the terms and conditions for Auto Debit • agree to abide by the terms, conditions, rules and regulations of the scheme • agree to terms & conditions of PIN agreement • agree to receive account statement/communication by Email • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs which together with the current application will result in the total investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

8. Nominee (available only for individuals) (refer instruction 8)

☐ I do not wish to choose a nominee
☐ I wish to nominate the following person

Name:.....

Address:.....

.....

If nominee is a minor: Date of birth:.....Relationship:.....

Name of Guardian:.....

Address of Guardian:.....

Signature of Nominee/Guardian of Nominee

9. Signature (refer instruction 9)

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

Scheme: .....

☐ Regular Plan ☐ Institutional Plan

☐ Dividend Payout ☐ Dividend Re-Investment ☐ Dividend Sweep ☐ Growth ☐ Others.....

Cheque / DD No.			Date	D D M M Y Y Y Y	
Amount in words (Rs)			Drawn on Bank		
Amount in figures (Rs)		DD Charges	Net Amount	Branch Name	

SMF-KIM-July 11