



## COMMON APPLICATION FORM FOR DEBT SCHEMES

For sale of Units of Tata Mutual Fund Scheme(s) to Resident/ Non-Resident Indians.  
Please refer complete details on all page(s) and scheme description/details while applying.

Sr. No. :

### ISSUE OF UNITS OF TATA MUTUAL FUND SCHEMES AT NAV BASED RESALE PRICE WITH APPLICABLE LOAD IF ANY FOR ONGOING SALE

Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS.  
Tick (✓) whichever is applicable. Strike out which is not required.

BROKER/AGENT CODE	SUB-BROKER CODE
ARN-0163	

Existing Folio No. :

#### PAN AND KYC COMPLIANT STATUS DETAILS (MANDATORY)

	PAN # (Refer Instruction - D)	KYC Compliant Status** (If yes attach proof) KYC Mandatory for investment of Rs. 50,000 and above. (Refer Instruction - E)
First Applicant / Guardian*		<input type="checkbox"/> Yes <input type="checkbox"/> No
Second Applicant		<input type="checkbox"/> Yes <input type="checkbox"/> No
Third Applicant		<input type="checkbox"/> Yes <input type="checkbox"/> No

\*If the First Applicant is a Minor, then please state the details of Guardian. # Please attach PAN proof. If PAN already validated, please don't attach any proof \*\*Refer Instruction - D

#### UNITHOLDER INFORMATION

Name of First Applicant	Please mention your name as it appears in your Bank Account		Date of Birth	D	D	M	M	Y	Y	Y	Y	
Name of Guardian (in case first Applicant is Minor)												
Contact Person (in case of company)												
Mailing Address (PO Box Address is not sufficient) (Indian Address in case of NRIs/IIIs)												
											Pin Code	
	City	State	Country									

Email ID \_\_\_\_\_

I/ We wish to receive A/c Statement / Annual Report / Quarterly Statement via Email instead of the physical copy  Yes  No

Contact Particulars	Telephone : Office	Residence	Fax	Mobile	
Status (First Sole Applicant) Please (✓)	<input type="radio"/> Resident Individual <input type="radio"/> Trust <input type="radio"/> Proprietorship	<input type="radio"/> NRI/NRO <input type="radio"/> Partner <input type="radio"/> BOI	<input type="radio"/> NRI/NRE <input type="radio"/> Public Ltd. Co. <input type="radio"/> Pvt. Ltd. Co.	<input type="radio"/> AOP <input type="radio"/> On behalf of Minor <input type="radio"/> Societies	<input type="radio"/> FII <input type="radio"/> HUF <input type="radio"/> Banks <input type="radio"/> Body Corporate <input type="radio"/> FOF <input type="radio"/> Others _____

Overseas Address (Mandatory for NRI/FII applications in addition to)											
	City										Pin Code
											Country

Occupation  Agriculture  Business  Service  Professional  Housewife  Retired  Student  Other \_\_\_\_\_

Mode of Holding  Single  Joint  Anyone or Survivor Default Option: Joint

Name of Second Applicant																			
	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI										Date of Birth	D	D	M	M	Y	Y	Y	Y
Name of Third Applicant																			
	Status: (✓) <input type="checkbox"/> RI <input type="checkbox"/> NRI										Date of Birth	D	D	M	M	Y	Y	Y	Y

Name of Power of Attorney holder for investment on behalf of Applicant.

PAN No. (Refer Instruction E) \_\_\_\_\_

\* Kindly enclose copy of the proof of PAN. In case of joint holding, PAN of all the joint holders should be mentioned in the application form.

#### NOMINATION

Nominee Name _____	
Address _____	
Guardian details if nominee is a minor Relationship: _____	
Name & Address _____	
Date of Birth (to be furnished in case the nominee is a Minor) _____	
Specimen Signature of Nominee/ Minor Nominee's Guardian	

**PLEASE CHOOSE THE SCHEME/S AND OPTION FOR INVESTMENT**

<input type="checkbox"/> <b>TATA LIQUID FUND (LF)</b> <input type="checkbox"/> RIP <input type="radio"/> Growth <input type="radio"/> Fortnightly Dividend <input type="radio"/> Daily Dividend <input type="checkbox"/> HIP <input type="radio"/> Growth <input type="radio"/> Monthly Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Daily Dividend <input type="checkbox"/> SHIP <input type="radio"/> Growth <input type="radio"/> Monthly Dividend <input type="radio"/> Weekly Dividend <input type="radio"/> Daily Dividend Under each Plan in case of Dividend Option (Please tick) <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment There will be compulsory reinvestment of dividend under Daily Dividend Option	<b>Default Option: RIP / Growth / Dividend Reinvestment (please refer Instruction D)</b>
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**RIP - Regular Investment Plan                      HIP - High Investment Plan                      SHIP - Super High Investment Plan**

<input type="checkbox"/> <b>TATA SHORT TERM BOND FUND (ST)</b> <input type="checkbox"/> Dividend (min. investment Rs. 10,000/-) <input type="checkbox"/> Growth (min. investment Rs. 10,000/-) <input type="radio"/> Payout <input type="radio"/> Reinvestment	<b>Default Option: Growth / Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA INCOME PLUS FUND (IP)</b> <input type="checkbox"/> <b>Option A</b> <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> <b>Option B</b> <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> <b>Option C</b> <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	<b>Default Option: Option A / Growth / Dividend Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA GILT SECURITIES FUND (GF)</b> <input type="checkbox"/> <b>Tata Gilt Securities Fund -</b> <input type="checkbox"/> <b>Normal</b> <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment <input type="checkbox"/> <b>High Investment Plan</b> <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	<b>Default Option: Normal / Growth / Dividend Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>Tata Gilt Short Maturity Plan</b> <input type="radio"/> Growth <input type="radio"/> Dividend/ Bonus Payout <input type="radio"/> Dividend Reinvestment	<b>Default Option: Normal / Growth / Dividend Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA INCOME FUND (IF)</b> <input type="checkbox"/> Quarterly Dividend <input type="checkbox"/> Half Yearly Dividend <input type="checkbox"/> Periodic Dividend <input type="checkbox"/> Growth <input type="checkbox"/> Bonus <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment	<b>Default Option: Growth / Quarterly / Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA FLOATING RATE FUND</b> <input type="checkbox"/> <b>Short Term</b> <input type="checkbox"/> <b>Long Term</b> <input type="checkbox"/> <b>Short Term Institutional Plan</b> <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Growth <input type="checkbox"/> Income / Bonus <input type="checkbox"/> Growth <input type="checkbox"/> Daily Dividend <input type="checkbox"/> Growth <input type="radio"/> Payout <input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Reinvestment                    (Compulsory Reinvestment)	<b>Default Option: Short Term / Growth / Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA FLOATER FUND (TFF)</b> <input type="checkbox"/> <b>Growth</b> <input type="checkbox"/> <b>Dividend</b> <input type="checkbox"/> <b>Weekly</b> <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment Default Option : Growth                    Default Option : Weekly                    Default Option : Reinvestment <input type="checkbox"/> <b>Monthly</b> <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment	<b>Default Option: Growth / Reinvestment (please refer Instruction D)</b>
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<input type="checkbox"/> <b>TATA LIQUIDITY MANAGEMENT FUND (TLMF)</b> <input type="checkbox"/> <b>Growth</b> <input type="checkbox"/> <b>Dividend</b> <input type="checkbox"/> <b>Daily</b> <input type="checkbox"/> <b>Reinvestment</b> Default Option : Growth                    Default Option : Weekly                    Dividend will be compulsarily reinvested <input type="checkbox"/> <b>Weekly</b>	
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**APPLICATION MONEY DETAILS (Cheque / DD to be drawn in the name of the Scheme)**

*Please enclose separate Cheques/Demand Draft for each scheme. \* DD charges to be borne by AMC where the location is other than the Transaction Acceptance Point*

**Scheme Name** \_\_\_\_\_

Gross Amount in Rs.                     DD Charges Rs. (if any)\*

Net Amount in Rs.

Amount of Investment(Rs in Words) \_\_\_\_\_

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ Cheque/DD No. \_\_\_\_\_ Dated \_\_/\_\_/\_\_\_\_

**Scheme Name** \_\_\_\_\_

Gross Amount in Rs.                     DD Charges Rs. (if any)\*

Net Amount in Rs.

Amount of Investment(Rs in Words) \_\_\_\_\_

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ Cheque/DD No. \_\_\_\_\_ Dated \_\_/\_\_/\_\_\_\_

**Scheme Name** \_\_\_\_\_

Gross Amount in Rs.                     DD Charges Rs. (if any)\*

Net Amount in Rs.

Amount of Investment(Rs in Words) \_\_\_\_\_

Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_ Cheque/DD No. \_\_\_\_\_ Dated \_\_/\_\_/\_\_\_\_

**OVERSEAS ADDRESS**

(Mandatory for NRI/FII applications in addition to mailing address) (PO Box Address is not sufficient)

Overseas Address in case of NRI/FIIs \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Pin \_\_\_\_\_

Country \_\_\_\_\_ Tel. (Office) \_\_\_\_\_ Tel. (Res.) \_\_\_\_\_ Fax \_\_\_\_\_

**YOUR BANK ACCOUNT DETAILS (Mandatory) please refer instruction F**

All communication and payments will be made to the first applicant or to the Karta in case of HUF.

**Name of Your Bank** \_\_\_\_\_ **Branch** \_\_\_\_\_

**Your Account No.** \_\_\_\_\_

**Account No. (in words)** \_\_\_\_\_

**Bank Address** \_\_\_\_\_

City \_\_\_\_\_ PIN \_\_\_\_\_ MICR Code \_\_\_\_\_

**Account Type**  Savings  Current  NRO  NRNR  NRE

**Bank Account Details of First Unitholder is required without which the application would be rejected.**

**Example for filling the Account number:**

Ac. No.	0	0	9	7	4	6	1	5	2
In words	Zero	Zero	Nine	Seven	Four	Six	One	Five	Two

**Bank account details of First Unitholder is required without which the application would be rejected.**

**DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND**

Tata Mutual Fund directly credits the Dividends /Redemption into the investor Bank Account in case the account is with **ICICI Bank Ltd./HDFC Bank Ltd./UTI Bank /IDBI Bank/ Standard Chartered Bank/Kotak Bank/HSBC Bank/Deutsche Bank/Centurion Bank of Punjab.**

I / We understand that the instruction to the bank for Direct Credit/ ECS will be given by the Mutual Fund and such instruction will be adequate discharge of Mutual Fund towards redemption/dividend proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/ We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/ECS.

**If however you wish to receive cheque payout, please tick here**

**Please tick the following:**  Receive Dividend/Redemption by Cheque  Receive Dividend/Redemption by Direct Credit  Receive Dividend through ECS (MICR code compulsory for ECS)

**DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)**

1)  Memorandum & Articles of Association (Corporate) 2)  Board Resolution (Corporate) 3)  Authorised signatories list. 4)  Trust Deed (in case of a trust) 5)  Partnership Deed (for partnership firm) 6)  Copy of PAN card of all unitholders. 7)  KYC Confirmation. 8)  Power of Attorney.

**DECLARATION AND SIGNATURES**

**The Trustee, Tata Mutual Fund**

a. Having read and understood the contents of the Offer Document of the Scheme, I/ We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/ We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/ We have understood the details of the scheme and I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We confirm that the funds invested in the Scheme, legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the AMC, I/ We hereby authorise the AMC, to redeem the funds invested in the Scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption and undertaking such other action with such funds that may be required by the Law.

b. **For NRIs:** I/ We confirm that I am / we are Non Residents of Indian Nationality / Origin and that I/ we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary.

I/ We confirm that details provided by me / us are true and correct.

c. I/We have read and understood the SEBI Circular no. MRD/DoP/Cir- 05/2007 dt. April 27, 2007 and SEBI Circular No. 35/MEM-COR/18/07-08 dt. June 26, 2007 regarding mandatory requirement of PAN. I/We confirm that I/we are holding valid PAN card / have applied for PAN.

**Date:** \_\_\_\_\_

**Signature(s) / Thumb Impression(s)**

1st / Sole holder	2nd holder	3rd holder
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**Refer Instruction - 'C'**

**Tata Mutual Fund "Acknowledgment Slip" (To be filled in by Investor)**

Received application from Mr./Mrs./Master/M/s \_\_\_\_\_ **Sr. No. :** \_\_\_\_\_

Address : \_\_\_\_\_ **PIN** \_\_\_\_\_

Signature of Authorised Staff and Office  
Seal/Stamp along with Date and Time of Receipt

Name of the scheme/s	Application for amount in Rs. (A)	DD charges (if any) in Rs. (B)	Net Amount or DD/ Pay Order drawn for Rs. (A-B)	Cheque/ DD/ Pay Order no.	Cheque/ DD/ Pay Order Dated	DD/ Cheque/ Pay Order drawn on-bank and branch (name)