

AGENT's Name and ARN

SYSTEMATIC INVESTMENT PLAN (SIP)

MANDATE FORM FOR AUTO DEBIT

(Please read instructions)


UTI Mutual Fund, Mumbai 51.

I / We hereby apply for making payment to SIP through Auto Debit [RBI's ECS (Debit Clearing)/Direct Debit] for the following Scheme/Plan.

INVESTOR AND SIP DETAILS
Sole / First Investor
Name

Application No. / Existing

Folio No.

Mobile No.

Scheme/Plan

Each SIP Amount (Rs.)

Frequency :

 Monthly

 Quarterly

SIP Date :

 1st

 7th

 15th

 25th

SIP Period :

Start from Mth

Year

End on Mth

Year

PAN* of 1st Applicant

 Enclosed

PAN Card Copy

Email ID: _____

DETAILS OF OTHER APPLICANTS

 Name of 2nd applicant
(Mr. / Ms. / Mrs.)

PAN* of 2nd applicant

 Enclosed

PAN Card Copy

Email ID: _____

 Name of 3rd applicant
(Mr. / Ms. / Mrs.)

PAN* of 3rd applicant

 Enclosed

PAN Card Copy

Email ID: _____

* PAN is mandatory as per SEBI guidelines.

I / We hereby, authorise UTI Mutual Fund and their authorised service providers, to debit my/our following bank account by Direct Debit/ECS Debit for collection of SIP Payments.

PARTICULARS OF BANK ACCOUNT

Bank Name

Branch Name

Account Number

A/C Type

 Savings

 Current

 NRE

 NRO

9 Digit MICR Code

IFS Code

Please provide the MICR Code of the bank branch from where the ECS/Direct Debit is to be effected. MICR code starting or ending with 000 are not valid for ECS.

(Optional)

Account holder Name as in Bank Account

I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/we would not hold the user institution responsible. I/We will also inform UTI Mutual Fund, about any changes in my bank account. I/we have read and agreed to the terms and conditions mentioned overleaf.

 I have attached cancelled blank cheque or its Photocopy.

Investor's Signature

Date

First Account Holder's Signature
(As in Bank Records)

Second Account Holder's Signature
(As in Bank Records)

Third Account Holder's Signature
(As in Bank Records)

Banker's Attestation (For bank use only)

Certified that the signature of the account holder and the details of Bank account are correct as per our records.

Signature of Authorised Official from Bank with Stamp and Date

TEAR AWAY

Authorisation of the Bank Account Holder (to be signed by the Investor)

(To be retained by the Bank)

To,

The Branch Manager

PIN _____

This is to inform that I/We hereby register for the RBI's Electronic Clearing Service (Debit Clearing)/ Direct Debit and that my/our payment towards my investment in UTI Mutual Fund shall be made from my/our below mentioned bank account with your bank. I authorise you to honour such payments. I/ We also authorise the representative carrying this Direct/ECS Debit Mandate Form to get it verified & executed, if necessary. The verification charges, if any, may be debited to my/our account.

Bank Account Number

First Account Holder's Signature
(As in Bank Records)

Second Account Holder's Signature
(As in Bank Records)

Third Account Holder's Signature
(As in Bank Records)

AGENT's Name and ARN

SYSTEMATIC INVESTMENT PLAN (SIP)
MANDATED FORM FOR SIP THROUGH POST DATED CHEQUES
(Please read instructions)



UTI Mutual Fund, Mumbai 51.

I / We hereby apply for making payment to SIP through Post dated Cheques for the following Scheme/Plan.

INVESTOR AND SIP DETAILS

Sole / First Investor Name

Application No. / Existing Folio No. **Mobile No.**

Scheme/Plan

Initial Investment Amount

Each SIP Amount (Rs.) **Frequency :** Monthly Quarterly

SIP Date : 1st 7th 15th 25th

SIP Period : Start from **Mth** **Year** **End on** **Mth** **Year**

Post Dated SIP Cheque Amount

Account No. **No. of Cheques**

Cheque Nos. From **To**

Drawn on **Branch**

PIN Code

PAN* of 1st Applicant Enclosed **PAN Card Copy** **Email ID:**

DETAILS OF OTHER APPLICANTS

Name of 2nd applicant (Mr. / Ms. / Mrs.)

PAN* of 2nd applicant Enclosed **PAN Card Copy** **Email ID:**

Name of 3rd applicant (Mr. / Ms. / Mrs.)

PAN* of 3rd applicant Enclosed **PAN Card Copy** **Email ID:**

* PAN is mandatory as per SEBI guidelines.

Signature : _____ **First Investor** _____ **Second Investor** _____ **Third Investor**

Date : _____

INSTRUCTIONS FOR SIP

General Instruction

1. SIP is offered with following Periodicity.
 - a. Monthly Systematic Investment Plan (MSIP) and
 - b. Quarterly Systematic Investment Plan (QSIP).
2. Both the options (MSIP and QSIP) can not be mingled. A separate Enrolment Form needs to be filled in for MSIP and QSIP.
3. Please submit SIP Mandate Form atleast 1 month before the first SIP installment date. Such of the Forms that are received within the period of 1 month before the first SIP installment date, will be considered from the SIP date of the following month/Quarter, as per the date (1st/7th/15th/25th) opted by the Investor.
4. The minimum amount of each investment for SIP is Rs.500/- (for monthly option) and Rs.1,500/- (for quarterly option) for all the schemes and multiples of Re.1/-.
5. There is no stipulated period up to which a SIP account can be under operation, however if no period is specified, the default period is 5 years.
6. Units Allotment: Units will be allotted at NAV based purchase price declared on the applicable dates i.e. 1st or 7th or 15th or 25th of the month / quarter. In case the date falls on a non-business day or falls during a book closure period, the immediate next business day will be taken into account for the purpose of determining the price. The applications will be accepted at all official points of acceptance of UTI AMC.
7. Account statement: An account statement will be dispatched to the unitholder normally within 3 business days from the date of first transaction / cheque realization and thereafter on each transaction.
8. Existing Investor can start the SIP within the existing folio. New Investor should attach the SIP Enrolment Form with the Scheme Application Form. All details about the Investor will be as per the existing folio or as provided by the Investor in the Scheme Application Form.
9. Investors can choose to discontinue this facility by giving 1 month written notice to the Scheme's registrar.
10. Investor will not hold UTI Mutual Fund or its service providers responsible if the transaction is delayed or not effected or the investors bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS / local holidays.
11. Investor will not hold UTI Mutual Fund or its service providers responsible for non-allotment of units for SIP if the payment is not received from the unitholder's bank for various reasons.
12. UTI Mutual Fund or its other service providers shall not be responsible and liable for any damages/compensation for any loss, damage, etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
13. UTI Mutual Fund reserves the right to reject SIP or auto debit mandate without assigning any reasons thereof.

SIP by Cheque/s

1. The disbursal of SIP cheques could be as under :

	Monthly Option	Quarterly Option
New investor	1 Cheque of initial investment + 5 Cheques (Min)	1 Cheque of initial investment + 3 Cheques
Existing unit holder	6 Cheques (Min)	4 Cheques

Note : If a unit holder desires to submit 12 cheques under the monthly option, the same will be accepted.

2. All SIP Cheques must be dated 1st, 7th, 15th or 25th of the month. All SIP cheques instalments under MSIP & QSIP should be of uniform amount. For example: If an investor enrolls under MSIP say under UTI Mastershare for the period May 2008 to April 2009, the first cheque can be of any amount and drawn on any date of May 2008. The remaining cheques should be of uniform date (1st / 7th / 15th / 25th) and of uniform amount. Similarly for quarterly option the first cheque could be of any amount and of any date of May 2008 and subsequent cheques should be of uniform date and amount.
3. Investors are required to send all post dated cheques in respect of SIP at one go and not in a staggered manner. Outstation cheques will not be accepted.
4. Please draw your cheques in the name of the Scheme in which the amount is invested.
5. Returned Cheques, if any, may not be presented again.

SIP by Auto Debit

The Auto Debit Facility is available as under:

Direct Debit : The Direct Debit Facility is available only with the banks with which UTI AMC or its service provider has tie up for Direct Debit. (At Present with HDFC Bank, AXIS Bank Ltd., ICICI Bank, Kotak Mahindra Bank, IDBI Bank, Indusind Bank Ltd., and select branches of Punjab National Bank, Bank of India and Bank of Baroda)

ECS Debit : Currently SIP payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI) is offered only to the investors having bank account in select cities mentioned below:

Agra, Ahmedabad, Allahabad, Amritsar, Aurangabad, Bangalore, *Bardhaman, Baroda, *Belgaum, Bhilwara, Bhopal, Bhubaneswar, *Bijapur, Calicut, Chandigarh, Chennai, Cochin, Coimbatore, Dehradun, Delhi, Dhanbad, *Durgapur, Erode, *Gadag, Gorakhpur, Guwahati, Gwalior, Hubli, Hyderabad, Indore, Jabalpur, Jaipur, Jalandhar, Jammu, *Jamnagar, Jamshedpur, Jodhpur, *Kakinada, Kanpur, Kolhapur, Kolkata, Lucknow, Ludhiana, Madurai, Mangalore, Mysore, Nagpur, Nasik, Nellore, Panjim, Patna, Pondicherry, Pune, Raipur, Rajkot, Ranchi, Salem, Shimla, Sholapur, Siliguri, Surat, Thirupur, Tirupati, Trichur, Trichy, Trivandrum, Udaipur, *Udipi, Varanasi, Vijaywada and Vizag.

* only debit service allowed.

- (a) The cities in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of UTI Mutual Fund without assigning any reasons or prior notice.
- (b) If any city is removed, SIP instructions for investors in such cities via ECS (debit) route will be discontinued without prior notice.
- (c) The Investor's bank branch should participate in Electronic Clearing Service (Debit Clearing) of RBI.
- (d) It is mandatory to submit the copy of cancelled cheque leaf (issued by the bank branch mentioned overleaf) along with the Auto Debit (ECS Debit) Form.
- (e) The investor's bank particulars in the scheme application form and the bank particulars for Auto Debit Form should be one and the same.

ACKNOWLEDGEMENT SLIP

(To be filled in by the Unit holder/Investor)

UTI Mutual Fund, Corporate office, UTI Tower, Bandra-Kurla Complex, Bandra (East), Mumbai - 400 051.

Received from Mr./Ms./Mrs. _____

'SIP' Application for _____

Scheme/Plan/Option _____

Folio No./Investor Id/Application No. _____

Date _____

Note : All purchases are subject to realisation of Cheques / Demand Drafts.

Payment Mechanism
(Please tick any one box)

Cheque

Auto Debit

Stamp of UTI AMC Offices alongwith
receiving Officials' Signature

SIP Load Structure

Category "A"

1.	UTI-Balanced Fund
2.	UTI-Banking Sector Fund (SIP available under Regular Plan only)
3.	UTI-Contra Fund
4.	UTI-Dividend Yield Fund
5.	UTI-Energy Fund
6.	UTI-Equity Fund
7.	UTI-Index Select Fund
8.	UTI-Infrastructure Fund
9.	UTI-Leadership Equity Fund
10.	UTI-Master Growth Unit Scheme
11.	UTI-Master Plus Unit Scheme

12.	UTI-Mastershare Unit Scheme
13.	UTI-Master Value Fund
14.	UTI-Mid Cap Fund
15.	UTI-MNC Fund
16.	UTI-Opportunities Fund
17.	UTI-Pharma & Healthcare Fund
18.	UTI-Services Industries Fund
19.	UTI-Software Fund
20.	UTI-Transportation & Logistics Fund
21.	UTI-Master Index Fund
22.	UTI-Nifty Index Fund

Category "B"

1. The Load Structure for application under the Schemes mentioned at Serial No "1 to 20" is as under :

Particulars	Entry Load	Exit Load
< Rs. 2 Crs	2.25%	In respect of each purchase / switch-in of units less than Rs. 2 crores in value, an exit load of 1.00% is payable if units are redeemed / switched out on or before 180 days from the date of investment.
=> Rs. 2 Crs	Nil	In respect of each purchase / switch-in of units of Rs. 2 crores and above in value, an exit load of 0.50% is payable if units are redeemed / switched out on or before 180 days from the date of investment.

2. The Load Structure for application under the Schemes mentioned at Serial No. "21 to 22" is as under

Particulars	Entry Load	Exit Load :
< Rs. 10 Lacs	Nil	1% if exited = < 180 days
= > Rs. 10 Lacs	Nil	1% if exited = < 7 days